# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **SCHEDULE 13G**

Under the Securities Exchange Act of 1934 (Amendment No. 1)\*

	TRANSPHORM, INC.
	(Name of Issuer)
	Common Stock, \$0.0001 par value
	(Title of Class of Securities)
	None
	(CUSIP Number)
	February 12, 2020
	(Date of Event Which Requires Filing of this Statement)
Check the appro	priate box to designate the rule pursuant to which this Schedule is filed:
	Rule 13d-1(b)
	Rule 13d-1(c)
$\boxtimes$	Rule 13d-1(d)
	of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for amendment containing information which would alter the disclosures provided in a prior cover page.
	required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP	None
No.	

	NAMES OF REPORTING PERSONS					
1						
	Mark N. Tompkins					
	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)					
2	(a) □					
_						
3	SEC USE ONLY					
4	CITIZENSHIP OR PLACE OF ORGANIZATION					
4	Canada					
	5 SOLE VOTING POWER					
NUMBER OF	1,525,000 <sup>(1)</sup>					
SHARES BENEFICIALLY	6 SHARED VOTING POWI	ER				
OWNED BY	N/A					
EACH REPORTING	7 SOLE DISPOSITIVE POV	VER				
PERSON WITH:	1,525,000 <sup>(1)</sup>					
	8 SHARED DISPOSITIVE I	POWER				
	N/A					
	- 11	OWNED BY EACH REPORTING PERSON				
9	$1.525,000^{(1)}$					
	1,525,000 <sup>(1)</sup> CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)					
10						
	□ PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)					
11						
	$4.3\%^{(1)}$					
12	TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)					
**	IN					

<sup>(1)</sup> Based on 35,173,331 shares of common stock of the Issuer issued and outstanding as of February 13, 2020, as reported in the Issuer's Current Report on Form 8-K filed with the Securities and Exchange Commission on February 14, 2020.

Item 1.			
	(a)	Name of Issuer Transphorm, Inc.	
	(b)	7	address of Issuer's Principal Executive Offices 5 Castilian Drive Goleta, CA 93117
Item 2.			
			fame of Person Filing Mark N. Tompkins
			address of Principal Business Office or, if none, Residence app 1, Via Guidino 23, 6900 Lugano-Paradiso, Switzerland
	(c)		Citizenship Canada
	(d)	Title of Class of Securities Common Stock, \$0.0001 par value	
	(e)		CUSIP Number Tone
Item 3.	If this	state	ement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:
	(a)		Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
	(b)		Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
	(c)		Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
	(d)		Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).
	(e)		An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
	(f)		An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
	(g)		A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
	(h)		A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
	(i)		A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
	(j)		A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J).
	(k)		A group, in accordance with $\S 240.13d-1(b)(1)(ii)(K)$ . If filing as a non-U.S. institution in accordance with $\S 240.13d-1(b)(1)(ii)(J)$ , please specify the type of institution:
			3

#### Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned: 1,525,000
- (b) Percent of class: 4.3%
- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote: See Item 5 of cover page.
  - (ii) Shared power to vote or to direct the vote: See Item 6 of cover page.
  - (iii) Sole power to dispose or to direct the disposition of: See Item 7 of cover page.
  - (iv) Shared power to dispose or to direct the disposition of: See Item 8 of cover page.

## Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following  $\boxtimes$ 

## Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

### Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company

Not applicable.

### Item 8. Identification and Classification of Members of the Group

Not applicable.

## Item 9. Notice of Dissolution of Group

Not applicable.

#### Item 10. Certification

None.

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2020 /s/ Mark N. Tompkins

Signature

Name: Mark N. Tompkins

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001)